



VERIS FROM OCEAN TOMO

CORPORATE INVESTIGATIONS



Discovering the real story during a corporate investigation can be a daunting task. Whether a company is responding to a regulatory inquiry, has suspicions of internal fraud, or is facing other possible financial improprieties, Veris *from* Ocean Tomo provides management with unbiased and experienced support to make the right decisions.

Our corporate investigations practice provides personalized and timely consulting services to prevent, identify, respond to and recover from commercial exposure. We offer the following corporate investigative services:

- » Recreate events from fragmented or falsified data, separating complex financial transactions and conducting forensic inspections and interviews.
- » Pinpoint and isolate crucial evidence and provide management or regulators with the findings.
- » Conduct investigative due diligence and fact-gathering at the beginning of an investigation or preceding a proposed transaction.
- » Assist with SEC, DOJ and other regulatory examinations, government inquiries and investigations, including review of books and records and analysis of collateral consequences for purposes of determining amount of fines resulting from Foreign Corrupt Practices Act (FCPA).
- » Deliver confidential and swift responses to high-risk events, including regulatory inquiries and examinations, financial indiscretions and restatements, whistleblower accusations, insufficient disclosures, embezzlement of corporate resources and shareholder derivative suits.
- » Present our findings through expert testimony at hearings and trials.

We have been involved with many high-profile corporate investigations, including one of the nation's largest Ponzi schemes, which required tracing the flow of transactions and funds through entities and related parties as well as a review of assertions of fraudulent transfers.

- » Engaged on behalf of a Madoff "feeder fund" to evaluate assertions brought by a pension fund investor in the Madoff fund. Veris *from* Ocean Tomo's evaluation included a review of the regulatory environment and oversight of the Madoff operations. Deposition testimony provided.
- » Investigated the interests of a major direct investor in Bernard L. Madoff Investment Securities LLC (BMIS) over a period of decades. Our analysis and demonstratives resulted in a reduction of hundreds of millions of dollars in the amount of the ultimate settlement with the BMIS bankruptcy trustee.

WE MANAGE INVESTIGATIONS WITH UNBIASED & EXPERIENCED SUPPORT.

[OCEANTOMO.COM/VERIS-CONSULTING](https://ocean_tomo.com/veris-consulting)

Featured Engagements

Corporate investigation matters in which we have been engaged in recent years include the following:

- » Retained by a major international shipping company to conduct forensic accounting investigation of activities of certain employees and vendors involved in fictitious vendor and asset misappropriation schemes, including calculation of damages and assistance in filing insurance claim.
- » Assisted in-house counsel of a multimillion dollar global development company with an internal investigation of potentially fraudulent procurement activity involving numerous U.S. Government contracts. Our findings were used in reporting to various agencies in the U.S. Government.
- » Assisted DOJ and SEC in FCPA investigation of alleged bribery of foreign government officials by a Fortune 50 company, including review of books and records and analysis of collateral consequences for purposes of determining amount of fine.
- » Conducted, on behalf of independent counsel representing audit committee of a U.S. publicly held Internet company listed on NASDAQ, an investigation involving allegations that company's Mexican operations had recorded fictitious revenues.
- » Engaged on several engagements involving Ponzi-scheme type operations requiring tracing the flow of transactions and funds through entities and related parties as well as a review of assertions of fraudulent transfers.
- » Engaged on behalf of a Madoff "feeder fund" to evaluate assertions brought by a pension fund investor in the Madoff fund. Veris from Ocean Tomo's evaluation included a review of the regulatory environment and oversight of the Madoff operations, an evaluation of the internal controls and verification tests that were performed by the feeder fund as well as an evaluation of the performance and yields of relevant indices and similarly situated funds. Veris from Ocean Tomo also reviewed and evaluated the damages asserted by the pension-fund investor. Deposition testimony provided.
- » Investigated the interests of a major direct investor in Bernard L. Madoff Investment Securities LLC (BMIS) over a period of decades. Our analysis and demonstratives resulted in a reduction of hundreds of millions of dollars in the amount of the ultimate settlement with the BMIS bankruptcy trustee.
- » Engaged by a high-profile national figure whose investments had been managed by Kenneth Starr for many years. Working directly with a variety of third party investment funds and financial institutions, Veris from Ocean Tomo traced the relevant flow of funds through various accounts. Also evaluated the viability of certain unique investments arranged by Mr. Starr and worked with legal and financial representatives on a variety of other high profile Starr clients to retrieve and distribute proceeds from investments made by him.

About Ocean Tomo

Established in 2003, Ocean Tomo, LLC provides Financial Expert, Management Consulting, and Advisory services related to intellectual property (IP) and other intangible assets; corporate accounting investigations; regulatory and reporting obligations; solvency and restructuring; and contractual or competition disputes.

Practice offerings address economic damage calculations and testimony; accounting investigations and financial forensics; technology and intangible asset valuation; strategy and risk management consulting; mergers and acquisitions; debt and equity private placement; and IP brokerage.

Intangible assets comprise 90 percent of business value but are also subject to significant impairment due to enterprise and regulatory compliance risk. Ocean Tomo assists clients – corporations, law firms, governments, and institutional investors – in realizing Intellectual Capital Equity® value broadly defined.



CONTACT

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Mr. Buttner has testified in federal, state and bankruptcy courts, arbitration hearings, and depositions on a variety of accounting, financial reporting, auditing and damages issues.



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Ms. Avery provides expert witness testimony and leads major dispute resolution engagements, providing forensic accounting and expert witness services in high profile litigations.